Coded Aperture and Optical Heterodyning: A mask-based approach for Digital Refocusing and Light-Field Acquisition by Conventional Cameras

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Figure 1: Using our heterodyne light field camera, we obtain 4D light field and full-resolution focused image simultaneously. (First column) Raw sensor image. Enlarged green inset in second column shows fine-scale light field encoding. (Third column) Parts of the scene in focus at capture time can be recovered at full resolution. (Fourth column) Far focused and near focused images obtained from the light field.

Abstract

We describe a theoretical framework for reversibly modulating 4D light fields with patterned masks in the optical path of a lens based camera. Based on this framework, we present a novel design to reconstruct the 4D light field from a 2D camera image without any additional lenses as required by previous light field cameras. The patterned mask attenuates light rays inside the camera instead of bending them, and the attenuation recoverably encodes the ray on the 2D sensor. Our mask-equipped camera focuses just as a traditional camera might to capture conventional 2D photos at full sensor resolution, but the raw pixel values also hold a modulated 4D light field. The light field can be recovered by rearranging the tiles of the 2D Fourier transform of sensor values into 4D planes, and computing the inverse Fourier transform. In addition, one can also recover the full resolution image information for the in-focus parts of the scene.

We also show how a broad-band mask placed at the lens enables us to compute refocusing at full sensor resolution for images of layered Lambertian scenes. This partial encoding of 4D ray-space data enables editing of image contents by depth to remove or suppress unwanted occluders, yet does not require computational recovery of the complete 4D light field.

1. Introduction

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The trend in computational photography is to capture more optical information at the time of capture to allow greater post-capture image processing abilities. The pioneering work of Ng et al. [2005] have shown a hand-held plenoptic camera where the user can adjust focus and aperture settings after the picture has been taken. The key idea is to capture the entire 4D light field entering via the lens and incident on the camera sensor. In a conventional camera, the sensed 2D image is a 2D projection of the 4D light field [Ng 2005] and it is not possible to recover the entire 4D light field. Using a clever arrangement of optical elements, it is possible to re-bin the 4D rays and capture them using a 2D sensor [Georgiev et al. 2006; Ng et al. 2005]. These lens arrays perform the optical implementation of the two plane parameterization of the light field [Levoy and Hanrahan 1996; Gortler et al. 1996].

However, optical rebining of rays forces a fixed and permanent tradeoff between spatial and angular resolution via the array of lenses. In this paper, we describe novel hybrid imaging/lightfield camera designs that are much more easily adjustable; users change a single attenuating mask rather than arrays of lenses. We exploit the fact that light rays can be linearly combined: rather than sense each 4D ray on its own pixel sensor, our design allows sensing linearly independent weighted sums of rays, rays combined in a coded fashion that can be separated by later decoding. Our mapping from 4D ray space to a 2D sensor array exploits heterodyning methods [Fessenden 1908] that are best described in the frequency domain. By exploiting the modulation and convolution theorems [Oppenheim et al. 1999] in the frequency domain, we derive simple attenuating mask elements that can be placed in the camera's optical path to achieve Fourier domain remapping. No additional lenses are necessary, and we can compute decoded rays as needed in software.

1.1. Contributions

We present a set of techniques to encode and manipulate useful portions of a 4D light field.

• We identify a new class of 4D cameras that re-map the Fourier transform of 4D ray space onto 2D sensors. Previous 4D cameras used 2D lens arrays to project 4D ray-space itself rather than it's Fourier transform.

- We achieve this frequency domain remapping using a single transmissive mask, and our method does not require additional optical elements such as lens arrays.
- We derive a 4D Fourier domain description of the effect of any mask at any position within a conventional 2D camera and then demonstrate that broad-band masks at the lens aperture can encode sharp images of optically out-of-focus scene features.

Our analysis leads to two camera designs:

Heterodyne Light Field Camera: The first design is based on the modulation theorem in the 4D frequency domain. We capture the light field using a 4D version of the method known as 'heterodyning' in radio. We create spectral tiles of the light field in the 4D frequency domain by placing high-frequency sinusoidal pattern between the sensor and the lens of the camera. To recover the 4D light field, we take the Fourier transform of the 2D sensed signal, re-assemble the 2D tiles into a 4D stack of planes, and take the inverse Fourier transform. Unlike previous 4D cameras that rely on lens arrays, this hybrid imaging/light field design does not force resolution tradeoffs for in-focus parts of the scene. The mask does not bend rays as they travel from scene to sensor, but only attenuates them in a fine, shadow-like pattern. If we compensate for this shadowing, we retain a full-resolution 2D image of the parts of the scene that were in focus, as well as the lower-resolution 4D light field we recover by Fourier-domain decoding. A prototype for this design is shown in Figure 2.

Encoded Blur Camera: The second design is based on the convolution theorem in the frequency domain. By placing a broadband mask in the lens aperture of a conventional 2D camera (Figure 2), we encode the defocus blur to preserve high spatial frequencies which can be subsequently recovered by image deblurring. We show how to computationally refocus the full-resolution image at different depths for layered Lambertian scenes. We show this computed re-focussing is a special case of 4D remapping in the frequency domain that does not require measurement of the entire 4D light field, allowing us to avoid its huge resolution penalties.

For both designs, we show optimality criteria of the mask pattern and describe a procedure for computing highly efficient mask.

1.2. Benefits and Limitations

Mask-based hybrid imaging/light-field cameras offer several advantages over previous methods. An attenuating mask is far simpler and less costly than lenses or lens arrays, and avoid errors such as spherical, chromatic aberration, coma, and mis-alignment. Simpler mounts and flexible masks may allow camera designs that offer user-selectable masks; photographers could then select any desired tradeoff in angle vs. spatial resolution. The design of Ng *et al.* [2005] matches main-lens aperture (f-stop) to the micro-lens array near the detector to avoid gaps or overlaps in their coverage of the image sensor; mask-only designs avoid these concerns.

Our mask based designs also impose limitations. Masks absorb roughly 50% of usable light that enters the lens. To counter this loss, we show that masks allow use of much larger apertures, up to a factor of 7 for the second design. Masks effectively reduce the lens aperture, inducing a proportional increase in blur from diffraction. This blur reduces our ability to compute re-focussed images by adding a mask to diffraction-limited systems such as microscopes. Our computed refocusing method based on image deblurring suffers from deconvolution noise, but we show that typical noise performance is 40 dB better than conventional deconvolution of images taken with an open aperture of the same size.



Figure 2: Prototype camera designs. (Left) Heterodyne light field camera holds a fine 2D mask near the view camera's line-scan sensor. (Right) Encoded blur camera holds a coarse broadband mask in the lens aperture.

1.3. Related Work

Light field acquisition: Integral Photography [Lippmann 1908] was first proposed almost a century ago to "undo" the directional integration of all rays arriving at one point on a film plane or sensor, and instead measure each incoming direction separately to estimate the entire 4D function. For a good survey of these first integral cameras and its variants, see [Okano et al. 1999; Martnez-Corral et al. 2004; Javidi and Okano 2002]. The concept of the 4D light field as a representation of all rays of light in free-space was by Levoy and Hanrahan [1996] and Gortler et al. [1996]. While both created images from virtual viewpoints, Levoy and Hanrahan [1996] also proposed computing images through a virtual aperture, but a practical method for computing such images was not demonstrated until the thorough study of 4D interpolation and filtering by Isaksen et al. [2000]. Similar methods have also been called synthetic aperture photography in more recent research literature [Levoy et al. 2004; Vaish et al. 2004].

To capture 4D radiance onto a 2D sensor, following two approaches are popular. The first approach uses an array of lenses to capture the scene from an orderly grid of viewpoints, and the image formed behind each lens provides an orderly grid of angular samples to provide a result similar to integral photography [Ives 1928; Lippmann 1908]. Instead of fixed lens arrays, Wilburn et al. [2005] perfected an optically equivalent configuration of individual digital cameras. Georgiev et al. [2006] and Okano et al. [1997] places an array of positive lenses (aided by prisms in [Georgiev et al. 2006]) in front of a conventional camera. The second approach place a single large lens in front of an array of micro-lenses treating each sub-lens for spatial samples. These *plenoptic cameras* by Adelson *et al.* [1992] and Ng et al. [2005] form an image on the array of lenslets, each of which creates an image sampling the angular distribution of radiance at that point. This approach swaps the placement of spatial and angular samples on the image plane. Both these approaches trade spatial resolution for the ability to resolve angular differences. They require very precise alignment of microlenses with respect to sensor. Our approach also trades spatial resolution for angular resolution, but we capture the 4D radiance using information-preserving coding directly in the Fourier domain. Moreover, we retain the ability to obtain full resolution for parts of the scene that were in-focus at capture time.

Coded imaging: In astronomy, coded aperture imaging [Skinner 1988] is used to overcome the limitations of a pinhole camera. Modified Uniformly Redundant Arrays (MURA) [Gottesman and Fenimore 1989] are used to code the light distribution of distant stars. A coded exposure camera [Raskar et al. 2006] can preserve high spatial frequencies in a motion-blurred image and make the deblurring process well-posed. Other types of imaging modulators include mirrors [Fergus et al. 2006], holograms [Sun and Barbas-

tathis 2005], stack of light attenuating layers [Zomet and Nayar 2006] and digital micro-mirror arrays [Nayar et al. 2006]. Previous work involving lenses and coded masks is rather limited. Hiura & Matsuyama [1998] placed a mask with four pin holes in front of the main lens and estimate depth from defocus by capturing multiple images. However, we capture a single image and hence lack the ability of compute depth at every pixel from the information in defocus blur. Nayar & Mitsunaga [2000] place an optical mask with spatially varying transmittance close to the sensor for high dynamic range imaging.

Wavefront coding [Dowski and Cathey 1995; Dowski and Johnson 1999] is another technique to achieve extended depth of field (DOF) that use aspheric lenses to produce images with a depthindependent blur. While their results in producing extended depth of field images are compelling, their design cannot provide a light field. Our design provides greater flexibility in image formation since we just use a patterned mask apart from being able to recover the light field. Passive ranging through coded apertures has also been studied in the context of both wavefront coding [Johnson et al. 2000] and traditional lens based system [Farid and Simoncelli 1998].

Several deblurring and deconvolution techniques have also been used to recover higher spatial frequency content. Such techniques include extended DOF images by refocusing a light field at multiple depths and applying the digital photomontage technique (Agarwala *et al.* [2004]) and fusion of multiple blurred images([Haeberli]).

2. Basics

For visualization purposes, we consider a 2D light field space (LS), with one spatial dimension x and one angular dimension θ and a 1D detector as shown in top of Figure 3. We denote variables by lower case letters and their corresponding Fourier domain representations by upper case letters. Let $l(x, \theta)$ denote the 2D light field parametrized by the twin plane parameterization as shown in Figure 3. The θ -plane is chosen to be the plane of the main lens (or the aperture stop for cameras composed of multiple lens) of the camera. For the case of planar Lambertian object, we assume that the *x*-plane coincides with the object plane.

2.1. Effects of Optical Elements on the Light Field

We now discuss the effect of various optical elements such as lens, aperture and sensor to the 2D light field in frequency domain, which we refer as *Fourier domain light field space* (FLS). The (x, θ) space is referred to as the primal domain.

Sensor: The image formed on a 1D (2D) sensor is a 1D (2D) projection of the 2D (4D) light field entering the camera, which also corresponds to a slice of the light field in Fourier domain. For different focus settings, the obtained image corresponds to slices at different angles/trajectories [Ng 2005].

Lens: A thin lens shifts the *x*-plane of the light field to the conjugate plane given by the thin-lens equation: $\frac{1}{x} + \frac{1}{v} = \frac{1}{f}$, where *f* is the focal length of the lens. The lens also inverts the *x*-plane of the light field.

Aperture: The aperture of a camera acts as a limiter, allowing only the light rays that pass through the aperture to enter the camera. The light field l after passing through the aperture is given by

$$l_a(x,\theta) = l(x,\theta)a(x,\theta), \tag{1}$$

where $a(x, \theta)$ is the aperture modulation function given by $a(x, \theta) = \text{rect}(\frac{\theta}{2\theta_0})$, and $2\theta_0$ is the size of the aperture. From (1), the Fourier transform of the light field after the aperture is given by

$$L_A(f_x, f_\theta) = L(f_x, f_\theta) \otimes A(f_x, f_\theta), \tag{2}$$



Figure 3: Encoded Blur Camera. (Top) In ray-space, focused scene rays from a scene point converge through lens and mask to a point on sensor. Out of focus rays imprint mask pattern on the sensor image. (Bottom) In Fourier domain. Lambertian scenes lack θ variation & form a horizontal spectrum. Mask placed at the aperture lacks *x* variation & forms a vertical spectrum. The spectrum of the modulated light field is a convolution of two spectrums. A focused sensor measures a horizontal spectral slice that tilts when out-offocus.

where \otimes denotes convolution. *L* and *A* are the Fourier transforms of the light field (before the aperture) and the aperture modulation function respectively. Since $a(x, \theta)$ is a rect function,

$$A(f_x, f_\theta) = 2a_0 \operatorname{sinc}(2a_0 f_\theta). \tag{3}$$

2.2. FLS and Information Content in the Light Field

A light field is a 4D representation of the light rays in the freespace. A 2D sensor can only sample one 2D slice of this light field. Depending on the scene, the information content in the light field is concentrated on different parts of the light field.

2.2.1. Planar Lambertian Object

Let us assume that the scene being imaged consists of a planar Lambertian object at the *x* plane. Since there are no angular variations in the irradiance of rays from a Lambertian object, the information content of its light field is restricted to be along the f_x axis. Thus, $L(f_x, f_\theta) = 0, \forall f_\theta \neq 0$. Since $L(f_x, f_\theta)$ is independent of f_θ and $A(f_x, f_\theta)$ is independent of f_x , from (2) and (3) we obtain,

$$L_A(f_x, f_\theta) = L(f_x, f_\theta) \otimes A(f_x, f_\theta)$$
(4)

$$= L(f_x, 0)A(0, f_\theta) \tag{5}$$

 $= 2a_0 L(f_x, 0) \operatorname{sinc}(2a_0 f_\theta).$ (6)

The sensed image is a slice of this modulated light field. When the sensor is in focus, all rays from a scene point converge to a sensor pixel. Thus, the in-focus image corresponds to a slice of $L_A(f_x, f_\theta)$ along f_x ($f_\theta = 0$). Let y(s) and $Y(f_s)$ denotes the sensor observation and its fourier transform respectively. For an in-focus sensor

$$Y(f_s) = L_A(f_s, 0) = 2a_0 L(f_s, 0).$$
(7)

Thus, no information is lost when the Lambertian plane is in focus.

When the sensor is out of focus, the sensor image is a slanted slice of the modulated light field as shown in Figure 3, where the slant angle β depends on the degree of mis-focus. Thus,

$$Y(f_s) = L_A(f_s \cos\beta, f_s \sin\beta)$$

= $2a_0L(f_s \cos\beta, 0) \operatorname{sinc}(2a_0f_s \sin\beta)$ (8)



Figure 4: Heterodyne light field camera. (Top) In ray-space, the cosine mask at *d* casts soft shadows on the sensor. (Bottom) In Fourier domain, scene spectrum (green on left), convolved with mask spectrum (center) made of impulses creates offset spectral tiles (right). Mask spectral impulses are horizontal at d = 0, vertical at d = v, or tilted.

Thus, for out of focus setting, the light field gets attenuated by the frequency transform of the aperture modulation function, which is a sinc function for an open aperture. This explains the attenuation of the high spatial frequencies in the captured signal when the scene is out of focus. Thus, we need to modify the aperture so that the resulting aperture modulation function has *broadband* frequency response, ensuring that high frequencies are preserved when the image is out of focus.

Incidentally, for a pinhole camera, the aperture function is a Dirac delta function and the aperture modulation function is broadband in f_{θ} . This explains why the images captured via a pinhole camera are always in-focus. However, a pinhole camera suffers from severe loss of light, reducing the signal to noise ratio (SNR) of the image. In Section 4, we show that one can use a carefully selected mask to perform the function of a broadband modulator of the light field in f_{θ} and realize greater DOF for Lambertian scenes, while increasing the amount of light captured as compared to a pinhole.

2.2.2. Bandlimited Light Fields

For general scenes, we assume that the light field is band-limited to f_{x0} and $f_{\theta0}$ as shown in Figure 5: $L(f_x, f_\theta) = 0 \quad \forall |f_x| \ge f_{x0}, |f_\theta| \ge f_{\theta0}$. A traditional camera can only take a 1D (2D) slice of the 2D (4D) light field. To recover the entire information content of the light field, we need to modulate the incoming light field so as to redistribute the energy from the 4D FLS space to the 2D sensor space.

3. Heterodyne Light Field Camera

In this section, we show that the required modulation can be achieved in frequency domain by the use of an appropriately chosen 2D mask placed at an appropriate position between the lens and the sensor. Although a mask is only a 2D modulator, in tandem with the lens, it can achieve the desired 4D modulation. We believe that this is the first design of a single-snapshot light field camera that does not use any additional lenses or other ray-bending optical devices.

3.1. Modulation Theorem and its Implications

Modulation theorem [Oppenheim et al. 1999] states that when a base-band signal s(x) is multiplied by a cosine of frequency f_0 , it results in copies of the signal at that frequency.

$$F(\cos(2\pi f_0 x)s(x)) = \frac{1}{2}(F(f_x - f_0) + F(f_x + f_0)), \qquad (9)$$

where $F(f_x)$ denotes the Fourier transform of s(x). This principle has been widely used in telecommunications and radio systems. The baseband signal is modulated using a *carrier* signal of much higher frequency so that it can be transmitted over long distances without significant loss of energy. The receiver demodulate the received signal to recover the baseband signal. In essence, what we wish to achieve is very similar. We would like to modulate the information in the angular variations of light field (f_{θ} frequencies) to higher frequencies in f_x so that the high resolution 1-D sensor may be able to sense this information.

Figure 5 shows a bandlimited light field in frequency domain. For simplicity, let us assume the *x* plane to be the conjugate plane, so that the sensor image corresponds to a slice along f_x (horizontal slice). Now consider a modulator whose frequency response is composed of impulses arranged on a slanted line as shown in Figure 5. If the light field is modulated by such a modulator, each of these impulses will create a spectral replica of the light field at its center frequency. Therefore, the result of this convolution will be several spectral replicas of the light field along the slanted line. The elegance of this specific modulation is that the horizontal slice (dashed box) of the modulated light field spectrum now captures all the information in the original light field. Note that the angle α is designed based upon the required frequency resolution in θ , *x* and the bandwidth of the incoming light field.

Heterodyne receivers in telecommunications demodulate the incoming signal to recover the baseband signal. In our case, demodulation must also redistribute the 1D signal to the 2D light field space. The process of demodulation consists of rearranging the frequency response of the sensor, $Y(f_s)$, to recover the bandlimited light field $L(f_x, f_\theta)$ as shown in Figure 5.

3.2. Mask based Heterodyning

We show that modulation shown in Figure 5 can be achieved by placing a suitably chosen attenuating mask in the optical path.

Masks as Light Field Modulators: A mask is essentially a special 1D code c(y) (2D for 4D light field) placed in the optical path. In flatland, although the mask is 1D, its modulation function is 2D (Figure 4). The mask affects the light field differently depending on where it is placed. If the mask is placed at the aperture stop (θ plane), then the effect of mask is to multiply the aperture modulation function by the mask modulation function. The mask modulation function $m(x, \theta)$ is then given by $m(x, \theta) = c(y = \theta)$, i.e., the modulation function is independent of x. Intuitively, when placed at the θ -plane, the mask affects all rays at an angle θ in similar way, independent of the scene point from which they are originating. If the mask is placed at the conjugate plane, it attenuates all rays (independent of θ) for same x equally. This is because at the conjugate plane, all rays originating from a point on the plane of focus converge to a single point. Thus, the mask modulation function changes to $m(x, \theta) = c(y = x)$. Thus, we see that the modulation function corresponding to placing the same code at the aperture and the conjugate plane are related by a rotation of 90° in the 2D light field space. Moreover, as the 1-D code is moved from the aperture plane to the plane of the sensor, the resulting mask modulation function gets rotated in 2D as shown in Figure 4.



Figure 5: Spectral slicing in heterodyne light field camera. (Left) In Fourier domain, the sensor measures the spectrum only along the horizontal axis ($f_{\theta} = 0$). Without a mask, sensor can't capture the entire 2D light field spectrum (in blue). Mask spectrum (gray) forms an impulse train tilted by the angle α . (Middle) By the modulation theorem, the sensor light field and mask spectra convolve to form spectral replicas, placing light field spectral slices along sensor's broad $f_{\theta} = 0$ plane. (Right) To re-assemble the light field spectrum, translate segments of sensor spectra back to their original f_x , f_{θ} locations.

In frequency domain, if a mask c(y) is placed at a distance d from the conjugate plane, the mask modulation function is given by

$$M(f_x, f_\theta) = C(f_x \csc(\alpha))\delta(f_\theta - f_x \tan \alpha), \quad (10)$$

where *C* denotes the Fourier transform of the 1D mask and *v* is the distance between the aperture and the conjugate plane, which depends upon the focal length of the camera lens. The angle α is given by

$$\alpha = \frac{d}{v} \frac{\pi}{2}.$$
 (11)

In other words, the mask modulation function has all its energy concentrated on a *line* in the 2D FLS space. The angle α of this line with respect to the f_x axis depends upon the position of the mask. When the mask is placed at the conjugate plane (d = 0), the angle α is equal to 0. As the mask moves away from the conjugate plane towards the aperture, this angle increases linearly to 90° at the aperture plane as shown in Figure 4,

Optimal Mask Position: In order to capture the 2D light field, we need the modulation function $M(f_x, f_\theta)$ to be a series of impulses at an angle α given by

$$\alpha = \arctan \frac{2f_{x0}}{f_{\theta R}},\tag{12}$$

where f_{x0} is the bandwidth of the light field in the f_x axis and $f_{\theta R}$ represents the desired frequency resolution on the f_{θ} axis as shown in Figure 5. For example, in Figure 5, the frequency resolution has been depicted as being equal to $f_{\theta R} = (2/5)f_{\theta 0}$, where $f_{\theta 0}$ is the bandwidth of the light field in the f_{θ} axis. Thus, for capturing a light-field of a given bandwidth, the physical position of the mask can be calculated from (12) and (11). In practice, since the spatial resolution is much larger than the angular resolution, α is very small, and therefore the mask needs to be placed close to the sensor.

Optimal Mask Pattern: To achieve $M(f_x, f_\theta)$ as a set of 1D impulses of a slanted 2D line, the Fourier transform C(f) of the 1-D mask should be a set of impulses. Let 2p + 1 be the number of impulses in $M(f_x, f_\theta)$. The fourier transform of the 1D mask is then given by

$$C(f) = \sum_{k=-p}^{k=p} \delta(f - kf_0),$$
(13)

where the fundamental frequency $f_0 = \sqrt{2f_{x0}^2 + f_{\theta R}^2}$. From Figure 5, $(2p+1)f_{\theta R} = 2f_{\theta 0}$. The bandwidth in f_{θ} is discretized by $f_{\theta R}$. Hence, the number of angular samples obtained in the light field will be equal to $\frac{2f_{\theta}}{f_{\theta R}} = 2p+1$. Since the Fourier transform of the optimal mask is a set of symmetric Dirac delta functions (along with DC), this implies that the physical mask is a sum of set of



Figure 6: (Left) Zoom in of a part of the cosine mask with four harmonics. (Middle) 7×7 binary valued mask. (Right) 7×7 continuous valued mask with a higher minimum value of the magnitude of zero padded Fourier transform.

cosines of a given fundamental frequency f_0 and its harmonics. The number of required harmonics is in fact p which depends upon the bandwidth of the light field in the f_{θ} axis and the desired frequency resolution $f_{\theta R}$.

Solving for 2D Light Field: To recover the 2D light field from the 1D sensor image, we compute the Fourier transform of the sensor image, *reshape* the 1D Fourier transform into 2D as shown in Figure 5 and compute the inverse Fourier transform. Thus,

$$l(x, \theta) = IFT(reshape(FT(y(s)))), \quad (14)$$

where FT and IFT denote the Fourier and inverse Fourier transforms and y(s) is the observed sensor image.

3.3. Note on 4-D Light Field Capture

Even though the analysis and the construction of mask-based heterodyning for light field capture was elucidated for 2D light fields, the procedure remains identical for capturing 4D light fields with 2D sensors. The extension to the 4-D case is straightforward. In case of a 4D light field, the information content in the 4D light field is heterodyned to the 2D sensor space by the use of a 2D mask placed between the aperture and the sensor. The Fourier transform of the 2D mask would contain a set of impulses on a 2D plane.

$$C(f_1, f_2) = \sum_{k_1 = -p_1}^{k_1 = p_1} \sum_{k_2 = -p_2}^{k_2 = p_2} \delta(f_1 - k_1 f_{01}, f_2 - k_2 f_{02})$$
(15)

Since one cannot realize negative values in the mask as required, one needs to boost the DC component of $C(f_1, f_2)$ so as to make the mask positive throughout. Figure 6(a) shows a zoom in of the 2D cosine mask we used for experiments. This 2D mask consists of four harmonics in both dimensions $(p_1 = 4, p_2 = 4)$ with fundamental frequencies f_{01} and f_{02} being equal to 1 cycle/mm. This allows an angular resolution of 9×9 in the 4D light field. Figure 7 shows the Fourier transform of the captured image of the cones shown in Figure 1. The Fourier transform clearly shows 9×9 spectral tiles created due to the modulation by the mask. These spectral tiles



Figure 7: (Top left) Magnitude of the 2D Fourier transform of the captured image shown in Figure 1. θ_1, θ_2 denote angular dimensions and x_1, x_2 denote spatial dimensions of the 4D light field. The Fourier transform has 81 spectral tiles corresponding to 9×9 angular resolution. (Bottom left) A tile of the Fourier transform of the 4D light field corresponding to $f_{\theta 1} = 1, f_{\theta 2} = -1$. (Top right) Refocused images. (Bottom right) Two out of 81 views. For each view, the entire scene is in focus. The horizontal line depicts the small parallax between the views, being tangent to the white circle on the purple cone in the right image but not in the left image.

encode the information about the angular variation in the incident light field. To recover the 4D light field, demodulation involves *reshaping* of the sensor Fourier transform in 4D. Let $t_1 = 2p_1 + 1$ and $t_2 = 2p_2 + 1$ be the number of angular samples in the light field and let the captured 2D sensor image be $N \times N$ pixels. We first compute the 2D FFT of the sensor image. Then we rearrange $t_1 \times t_2$ tiles of the 2D Fourier transform into 4D planes to obtain a $(N/t_1) \times (N/t_2) \times t_1 \times t_2$ 4D Fourier transform. Inverse FFT of this 4D Fourier transform gives the 4D light field. In Figure 1, using a 1629 * 2052 pixel image captured with a cosine mask having four harmonics, we obtain a light field with 9×9 angular resolution and 181×228 spatial resolution.

3.4. Aliasing

Traditionally, undersampling results in masquerading of higher frequencies as lower frequencies in the same channel and leads to visually obtrusive artifacts like ghosting. In Heterodyne light field camera, when the band-limit assumption is not valid in the spatial dimension, the energy in the higher *spatial* frequencies of the light field masquerade as energy in the lower *angular* dimensions. No purely spatial frequency leak to other purely spatial frequency. Thus, we do not see familiar jaggies, moire-like low-frequency additions and/or blocky-ness in our results. The effect of aliasing in discussed in detail in [Veeraraghavan et al. 2007], where using the statistics of natural images, it is shown that the energy in the aliasing components is small. To further combat the effects of aliasing, we post-filter the recovered light field using a Kaiser-Bessel filter with a filter width of 1.5 [Ng 2005].

3.5. Light Field based Digital Refocusing

Refocused images can be obtained from the recovered Fourier transform of the light field by taking appropriate slices [Ng 2005]. Figure 1 and Figure 7 shows refocused cone images. The depth variation for this experiment is quite large. Notice that the orange cone in the far right was in focus at the time of capture and we are



Figure 8: Our light field camera can be used to refocus on complex scene elements such as the semi-transparent glass sheet in front of the picture of the girl. (Left) Raw sensor image. (Middle) Full resolution image of the focused parts of the scene can be obtained as described in Section 3.6. (Right) Low resolution refocused image obtained from the light field. Note that the text on the glass sheet is clear and sharp in the refocused image.

able to refocus on all other cones within the field of view. Figure 9 shows the performance of digital refocussing with varying amounts of blur on the standard ISO-12233 resolution chart. The image that would have been captured by a traditional camera with identical fstop is shown for comparison. Using the light field, we were able to significantly enhanced the depth of field. Digital refocussing based on recovered light fields allow us to refocus even in the case of complicated scenes such as the one shown in Figure 8. In this example, a 2D poster of the girl in the back is occluded by a glass slab in front. Notice that the text "Mask based Light Field" written on the glass sheet is completely blurred due to the glass sheet being out of focus. By computing the light field, we can digitally refocus on the glass sheet bringing the text in focus. It is also straightforward to synthesize novel views from the recovered light field. Two such views generated from the recovered light field are also shown in the bottom right part of Figure 7 (Videos of the refocused images and views are included in the supplementary materials). The horizontal line on the images depicts small vertical parallax between the two views.

3.6. Recovering High Resolution Image for Focused Part of the Scene

Our light field camera has an added advantage that one can recover a high resolution image of the Lambertian parts of the scene that were in focus at the capture. Consider a scene point that is in sharp focus. All rays from this scene point reach the *same* sensor pixel but are attenuated differently due to the mask. Therefore, the sensor pixel value is the product of the scene irradiance and the average value of the mask within the cone of rays reaching that pixel. This attenuation $\gamma(x, y)$ varies from pixel to pixel and can either be computed analytically or recovered by capturing a single calibration image of a uniform intensity Lambertian scene. One can recover the high resolution image I(x, y) of the scene points in focus as

$$I(x,y) = s(x,y)/\gamma(x,y),$$
(16)

where s(x,y) is the captured sensor image. Parts of the scene that were not in focus at the capture time will have a spatially varying blur in I(x,y). We use the image of a uniform intensity Lambertian light box as γ .

In Figure 1, zoomed in image region shows the attenuation of the sensor image due to the cosine mask. The recovered high resolution picture is also shown in Figure 1 and the inset shows the fine details recovered in the parts of the image that were in focus. Figure 9 shows the recovered high resolution picture of a resolution chart that was in focus during capture. This ability to obtain high



Figure 9: Analysis of the refocusing ability of the light field camera. (Left) If the resolution chart is in focus, one can obtain a full resolution 2D image using the light field camera as described in Section 3.6. (Middle) We capture out of focus chart images for 3 different focus settings. (Right) For each setting, we compute the 4D light field and obtain the lower resolution refocused image. Note that large amount of defocus can be handled.

resolution images of parts of the scene makes our approach unique from previous light field cameras.

4. Encoded Blur Camera

In the previous section, we discussed a design for a light field camera with the aid of an attenuating mask. In this section, we look at a very specific sub-class of light fields; those that results from layered Lambertian scenes. For such scenes we show that using a broadband mask at the aperture is a very powerful way of achieving full-resolution digital refocusing. In conventional cameras, photographers can control the depth of field only by controlling the size of the aperture. As the aperture size decreases, the DOF of the camera increases proportionally, but the SNR decreases proportionally due to the loss of light. In Section 2.2.1, we showed that an open aperture suppress high spatial frequencies in the out of focus image. To preserve high spatial frequencies, we place a physical mask at the aperture whose frequency response is broadband. For a mask placed at the aperture, $M(f_x, f_{\theta})$ has all its energy concentrated along f_{θ} direction from (10). Thus, $M(f_x, f_{\theta}) = 0, \forall f_x \neq 0$. The frequency transform of the mask modulated light field is

$$L_M(f_x, f_\theta) = L(f_x, f_\theta) \otimes M(f_x, f_\theta)$$
(17)

Since for a Lambertian scene, $L(f_x, f_\theta) = 0, \forall f_\theta \neq 0$, the above equation simplifies to $L_M(f_x, f_\theta) = L(f_x, 0)M(0, f_\theta)$. Thus, the mask modulation function gets *multiplied* by the frequency transform of the light field. In primal domain, this is equivalent to a convolution of the mask and the sharp image of the scene. The scale of the mask is dependent on the degree of defocus blur. The sharp image can be recovered by deconvolution of the blurred image with the scaled mask. The same conclusion may also be reached from ray based analysis of the captured image.

4.1. Optimal Mask for Encoding Defocus Blur

Since the frequency transform of the light field gets multipled by the mask modulation function, the optimal mask is the one which is broadband in frequency domain. Broadband masks popularly known as MURA codes have been used in coded aperture astronomy. However, a lens based coded aperture is significantly different from traditional coded aperture used in astronomy, which does not use a lens. In traditional coded aperture, every scene element is *circularly* convolved with the mask. Instead, for a lens based coding, the observed image is a *linear* convolution of the sharp image with the defocus point spread function (PSF). Since linear convolution is equivalent to circular convolution with zero padded kernel, the optimal mask for lens based coded aperture is different from MURA. This was also observed by Raskar *et al.* [2006] in searching for a 1D optimal broadband code in the context of motion deblurring. Moreover, coded aperture in astronomy can improve SNR only for point like sources such as stars and gives no added benefits over pin-holes for area light sources [Accorsi et al. 2001]. Hence it is not suitable for photography of natural scenes.

Raskar et al. [2006] performed a brute force linear search for searching the best binary code based on maximizing the minimum of the DFT magnitudes of the zero padded code for the problem of motion deblurring. Here, we show that a continuous valued code can give superior performance compared to a binary code, with the advantage of significantly reducing the search time. For finding a continuous code, we perform a continuous valued optimization (using Matlab function fmincon) based on maximizing the minimum of DFT magnitudes of zero padded code. A sub-optimal binary code (such as MURA) can be provided as the initial guess. Figure 6 shows a 7×7 binary mask obtained after 10 machine hours of search along with a continuous mask obtained within few minutes of optimization. The minimum magnitude of DFT is equal to 0.013 (after zero-padding to 128) for the continuous code as opposed to 0.003 for the binary code. Using the noise analysis presented in Section 5, the deconvolution noise for continuous valued code will be smaller by 7.3dB compared to the binary code.

4.2. Deconvolution based Digital Refocusing

We achieve full resolution digital refocusing from a single encoded out of focus image using image deconvolution techniques. Defocus blur in the captured image is related to the depth of the scene. Although depth from defocus [Chaudhuri and Rajagopalan 1999] is an active area of research in computer vision, computing a depth map from a *single* defocused image is challenging, unless *a priori* knowledge about the scene is assumed or learning based approaches are used. Instead, we assume that the scene is made up of *n* distinct layers, where *n* is a small number and the defocus point spread function (PSF) within each layer is spatially invariant. This assumption works well for a variety of scenes. We also assume that the maximum blur diameter in the image can be *T* pixels.

We achieve refocusing in two steps. First, we analyze the scene and estimate the number of layers and the scale of the PSF for each layer automatically. We then generate *n* deblurred images, $I_1 \dots I_n$, by deconvolving the captured blurred image by the estimated blur kernels. To refocus at a layer *i*, we *reblur* the n-1images $(I_1, \dots I_{i-1}, I_{i+1} \dots I_n)$ according to the difference of their blur from the blur of layer *i* and then composite I_i and the reblurred images to get the refocused image.

4.2.1. PSF Estimation

Let us assume that the entire image has a single layer with a defocus blur diameter of k pixels. The captured image B is related to the sharp image I via convolution as

$$B(x,y) = I(x,y) * h^{k}(x,y) + \eta, \qquad (18)$$

where η denotes the measurement noise and h^k is the PSF at scale *k*. Given *I* and h^k , the likelihood error can be written as

$$e_l(x,y) = (B(x,y) - I(x,y) * h^k(x,y))^2.$$
 (19)

However, this error itself is not sufficient to uniquely determine I and h^k because $e_l(x, y)$ can be made equal to zero by assuming B = I. To resolve this ambiguity, we use the statistics of natural images. It has been shown that real-world images obey heavy tail



Figure 10: PSF estimation. (Left) Captured photo. (Middle) Initial crude segmentation of the scene based on the error maps indicate two dominant layers (person and painting) apart from homogeneous regions with scales 7 and 4 respectively. (Right) Final labeling for refocused image on the person by composing I_7 , reblurred I_4 and the captured blurred image.

distributions in their gradients [Field 1994]. In blurred images high gradients are suppressed, thereby suppressing the tails of this distribution. We use the fourth-order moment (kurtosis) of gradients as a statistic for characterizing the gradient distribution. The kurtosis will be small for blurred image gradients as compared to sharp image gradients. At every pixel, we define the gradient error $e_g(x, y)$ as the kurtosis of gradients within a small neighborhood R around that pixel.

$$e_g(x,y) = -(kurtosis(\{I_x(x,y)\}_R) + kurtosis(\{I_y(x,y)\}_R), (20))$$

where I_x , I_y denote the *x* and *y* gradients. However, deblurring at a wrong scale higher than the correct scale *k* introduces high frequency deconvolution artifacts which may decrease e_g . Thus, the two error measures compete with each other. To locate the correct scale, we use the combined error $e(x, y) = e_l(x, y) + \beta e_g(x, y)$, where β is a constant.

In the presence of multiple (n) layers, we deblur the given image using blur kernels of different sizes, ranging from 1 to T pixels. For each of these *T* deblurred images, we compute the error map e(x, y). For a layer with correct scale k, the k^{th} error map should have the smallest values for the region corresponding to that layer. This is equivalent to a discrete labeling problem for each pixel with T labels. The labeling cost at each pixel for a given label k is given by the k^{th} error map $e^k(x, y)$. We solve this labeling problem using the alpha-expansion graph-cut procedure (and software) by Boykov et al. [2001]. Since homogeneous regions in the image do not contain any blur information, we set the data cost for homogeneous regions to be zero, so that they get filled-in for each layer during graph cut optimization. We remove spurious layers having less than 10% of the total number of pixels in the image and perform simple morphological operations (hole filling) on the labels. Figure 10 shows a captured blurred image (person out of focus) and the resulting labeling indicating that the scene has two dominant layers (blue and green), apart from the homogeneous regions (yellow). This procedure only gives a crude segmentation of the scene in terms of layers and the corresponding scales. The exact boundaries between the layers are not obtained, but the interiors of the layers are labeled properly. This kind of labeling can also be obtained from a simple user interaction, where the user scribbles on the region corresponding to each layer in the correct deblurred image for that layer, given a set of T deblurred images.

4.2.2. Synthesizing Refocused Image

Since the scene has *n* layers, we only need to consider the *n* deblurred images $(I_1 \dots I_n)$ at the corresponding scales. We use the labeling in the interior from the previous step to build color histograms for each layer (each channel is treated separately) from the corresponding deblurred image. We also build histogram for homogeneous regions external to all the layers using the given blurred image. To refocus on a layer *i*, we *reblur* each of the n - 1 images, $I_1, \dots, I_{i-1}, I_{i+1} \dots I_n$ according to their scale difference from layer *i*.

The refocused image is composed from I_i and the n-1 reblurred images. Again, this can be treated as a labeling problem and we use the procedure described in [Agarwala et al. 2004] to create the composite¹. The data cost at each pixel is chosen as "maximum likelihood" using the color histograms and the seam objective is based on matching colors and gradients as described in [Agarwala et al. 2004]. Figure 10(c) shows the final labeling.

4.3. Results

Figure 11 shows the full resolution refocused result, where the person in front has been brought into focus. Notice the glint in the eye of person and the fine hair strands have been recovered during deblurring.

Refocusing in Presence of Partial Occluders: Image completion and other hallucination techniques are used to fill in missing or unwanted regions of the image. However, such techniques may not work on out of focus blurred images. Since the hallucinated pixel values are not modeled according to the defocus blur, deblurring on such images will produce artifacts. Figure 12 shows such a scenario where the fence is in sharp focus and the person behind the fence is out of focus. Deblurring the image without taking the occluders into account will produce artifacts as shown. Since blurring distributes the information to neighboring pixels, we can recover the sharp image if the blur size is larger than the occluder size. Given a mask for the occluded pixels obtained using local variance analysis, we perform a weighted deconvolution of the image by solving

$$WA\mathbf{x} = W\mathbf{b},\tag{21}$$

where W is a weighting matrix that sets the weights corresponding to the occluded pixels in the blurred image to zero, **b** is the vectorized blurred image and A is the block-Toeplitz matrix representing 2D blurring. In Figure 12, after obtaining the sharp image, we composite it with the sharp image of the fence, to bring both the person and fence in focus. Note that the mask for occluder can be over-estimated, as long as the blur is large enough.

Spatially Varying PSF: Figure 13 shows an example of spatially varying defocus blur due to a tilted book. To obtain an all in focus image, we fuse the deblurred images $I_1 \dots I_T$. We click on four points on the blurred image to estimate the homography of the book and estimate the PSF scale at each pixel using the scale at end points and the homography parameters. The deblurred images are then combined according to the spatially varying scale to obtain the all in focus image. Note that the word "ARCHITECTURE" cannot be read in the blurred image but is sharp in the result.

5. Implementation and Analysis

Light Field Camera: We build a large format camera using a flatbed scanner (Canon CanoScanLide70) similar to [Wang and

¹The captured blurred image is also used in the composite for homogeneous regions.



Figure 11: Full resolution digital refocusing using Encoded Blur camera. (Left) Captured image where both the person in front and the painting are blurred. (Middle) Refocused image, the person has been brought into focus. (Right) Since the defocus PSF is made broadband by inserting a broadband mask in the aperture, deconvolution can recover fine features such as the glint in the eye and the hair strands.



Figure 12: Deblurring in presence of partial occluders. (Top Left) Blurred photo of a person occluded by the in-focus fence. (Top Right) Deblurring without taking the occluders into account results in artifacts. (Bottom Left) Binary mask for the occluders. (Bottom Right) By solving the weighted deconvolution equation, one can remove these artifacts.

Heidrich 2004; Yang 2000] and place a 8×10 inch² mask behind the scanner glass surface. The mask was printed at a resolution of 80 dots/mm using an LVT continuous tone film recorder (BowHaus, Inc.). The scanner itself was then placed on the back of a large format view camera fitted with a 210 mm Nikkor lens as shown in Figure 2. In practice, the motion of the scanner sensor is not smooth and pattern (horizontal/vertical) noise may be visible in the captured image. This may lead to some artifacts in the recovered light fields. However, many of these issues may disappear with a finer mask placed inside a conventional digital camera. Calibration involves accounting for the in-plane rotation and shifts of the mask with respect to the sensor which manifests as search for rotation angle of the captured 2D image and the phase of the Fourier transform. Since the computation of the light field and refocusing is done in Fourier domain, computation burden is low.

Encoded Blur Camera: Figure 2 shows the prototype for encoded blur camera for extending DOF. We use the Canon Rebel XT SLR camera with a Canon EF 100 mm lens with an aperture size of 2.3 cm. We cut open the lens in the middle at the aperture and place a mask as shown in Figure 2. To avoid diffraction blur, we limited



Figure 13: Spatially varying PSF can be handled for planar scenes using homography. Shown is an all focus composite obtained by fusing deblurred images at varying scales appropriately.

ourselves to a low resolution 7×7 mask.

Figure 14 shows the effect of putting a mask in the aperture plane on the *modulation transfer function* (MTF) of the optical system. We capture out of focus images of the standard resolution chart ISO-12233 and use imatest software [Imatest] to compute the MTF. Figure 14 shows how the MTF degrades when the lens is out of focus, compared to the in-focus MTF, for coded blur and box blur. For an open aperture (box blur), the MTF degrades sharply and has zeros corresponding to certain spatial frequencies depending on the amount of defocus. By using a broadband mask, the MTF improves, especially for higher frequencies, facilitating the recovery of those frequencies.

Since deblurring can be represented as a linear system, standard covariance analysis can be done to analyze *deconvolution noise*. For a linear system $A\mathbf{x} = \mathbf{b}$, assuming zero mean Gaussian IID noise in **b** with variance σ^2 , the covariance matrix Σ of **x** is given by $\Sigma = \sigma^2 (A^T A)^{-1}$. Thus, the mean square error (MSE) increases by a factor of $f = \text{trace}(\Sigma)/N^2 = \text{trace}(A^T A)^{-1}/N^2$, where N^2 is the number of pixels in **x**. Since A is of size $N^2 \times N^2$, it is not possible to obtain f analytically. We empirically estimate f by deblurring a blurred synthetic image (after adding Gaussian noise) and comparing with the ground truth. The mean square error was averaged over 1000 trials. Figure 14(b) shows the plots of f in db for different values of blur using the 7×7 mask and open aperture



Figure 14: (Left) Comparison of the MTF of the lens in focus, and out of focus with and without the mask in the aperture. By putting the mask in the aperture, the MTF improves for out of focus lens. (Right) Noise analysis of the linear system for deblurring shows that the resulting linear system when using the mask is stable compared to that of the open aperture.



Figure 15: Comparison of traditional focus deblurring with a mask in the aperture. (Top) Captured blurred photo and the deblurred image for open aperture. (Bottom) Captured blurred photo and the deblurred image using the 7×7 mask in the aperture.

of the same size. For a 7 pixel blur, the open aperture leads of noise amplification by 58.02 dB, whereas by using the mask, it is reduced to 20.1db. Regularization algorithms such as Richardson-Lucy [Richardson 1972; Lucy 1974] are used to reduce noise in conventional deblurring but also results in loss of details as show by the comparisons in Figures 15. Note that the high spatial frequencies and details are recovered when using the mask as compared to open aperture. A small aperture image, although sharp, shows increased photon noise due to sever loss of light.

As the *mask resolution* increases, each cell of the mask becomes smaller for the same aperture size. Thus, diffraction starts playing a role and deblurring using a high resolution masks shows artifcats. Figure 16 shows the deblurred images of the ISO-12233 chart captured using masks of different resolution for the same out-of-focus lens setting. Note the color artifacts due to diffraction when using 25×25 mask.

Failure Cases: For encoded blur camera, we assume layered Lambertian scene. Thus, scenes with large variation in depths and those



Figure 16: (Top row) Blurred and deblurred images of ISO-12233 chart using the 7×7 mask. (Bottom row) Using a 25×25 mask for the same physical size results in artifacts due to diffraction.

with view dependencies and specularities cannot be handled. In practice, the 7×7 mask gives good deblurring results only up to blur size of 30 pixels. To handle large defocus blur, one could use a finer resolution mask but that leads to diffraction issues. Layers with overlapping high frequency textures shows deblurring artifacts due to the lack of accurate modeling of the seam layers. Matting based approaches may be combined with deblurring to handle such cases. For the light field camera, we assumed a band-limited light field. When this assumption is not true, it leads to aliasing artifacts in the recovered light field. To recover large angular resolution, the mask needs to be moved away from the sensor, which might result in diffraction.

6. Discussion

Future Directions: To capture the light field, we need to use masks that match the resolution of the sensor. This is ideal for the future trend of digital cameras where the pixels are becoming smaller to achieve higher resolution. It is already possible to print RGB Bayer mosaics at pixel resolution. Canyon Materials Inc. can print masks at 25, 50, and 100 nanometer resolution with 1024 gray levels for \$8000 (for 1 inch sq. masks). Such high resolution masks will support heterodyning as well as Bayer mosaic operations in a single mask. Our current masks are effectively 2D in a 4D space, but in the future one may use masks that are angle and location dependent like a hologram to achieve a complete 4D effect. We hope our work in broadband and cosine masks will also stimulate more ideas in mask functions including colored and polarized masks to estimate scene properties.

Our broadband coding can be pushed in higher dimension, for example, by coding both in time [Raskar et al. 2006] and space. The benefit of masks compared to lenses is the lack of wavelength dependent focusing and chromatic aberrations. This fact is commonly used in astronomy. Hence, masks can be ideal for hyper-spectral imaging. Shallow depth of field is a serious barrier in medical and scientific microscopy. The facility to refocus while maintaining full resolution will be a great benefit. In combination with confocal coded aperture illumination one maybe able to capture digitally refocused images in a fewer incremental steps of the focal planes.

Conclusions: We showed that two different kinds of coded masks placed inside a conventional camera will each allow us to make a different kind of computational improvement to the camera's pictures. First, if we place a fine, narrow-band mask slightly above the sensor plane, then we can computationally recover the 4D lightfield that enters the main camera lens. The mask preserves our camera's ability to capture the focused part of the image at the full resolution of the sensor, in the same exposure used to capture the 4D light field. Second, if we place a coarse, broad-band mask at the lens aperture, we can computationally re-focus an out-of-focus image at full resolution. As this re-focussing relies on deconvolution, we can correct the focusing for images that require constant or piecewise-planar focusing. These and other masks are not magical: mask reduces sensor illumination by 1-fstop, re-focusing exacerbates noise. However, high-quality masks may be less demanding than lens arrays to mount, align, or arrange in interchangeable sets and they avoid optical errors such as radial error, spherical and chromatic aberration, and coma. We believe that masks offer a promising new avenue for computational methods to substantially improve digital photography.

Acknowledgements

We thank the anonymous reviewers and several members of MERL for their suggestions. We also thank Joseph Katz, Joe Marks, John Barnwell, Katherine Yiu and Rama Chellappa for their help and support. Rebecca Witt, Aaron Stafford and Jana Matuskova posed for the photographs. We thank Keisuke Kojima, Haruhisa Okuda & Kazuhiko Sumi of Mitsubishi Electric, Japan, and Berthold K.P. Horn, MIT for helpful discussions. Jack Tumblin was supported in part by NSF Grant No. IIS-0535236 and CCF-0645973.

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